

## Condition Codes in the Sterling Trader® "Time and Sales" window - July 20, 2009

<u>Trade Condition Codes</u>		<u>Common Trade Conditions (Number right of ; indicates "Extended Hours")</u>
<u>Spryware</u>	<u>Activ</u>	
14	978	Next Day Trade (Next Day Clearing)
43; 44	953	Re-Opening Prints (NASDAQ); Market Center Re-Opening Trade (NYSE)
18	90	Out of Sequence
30, 63	85	Extended Hours Sold Out of Sequence (NYSE); Extended Trading Hours Sold Out of Sequence (NASDAQ)
17	84	Extended Hours Trade (Form T)
58	83	Suspended Pending Delisting
57	80	Prior Reference Price (updates Last Price if only qualifying Last)
6; 42	78	Automatic Execution
13, 34; 32	76	Sold Last (Late Reporting)
7	70	Intermarket Sweep
2; 4	67	Cash Trade (Same Day Clearing)
56; 60	54	Closing Prints (NASDAQ); Market Center Closing Trade (NYSE)
3	53	Average Price Trade
71; 72	52	Derivatively Priced

<u>Quote Condition Codes</u>		<u>Common Quote Conditions</u>
<u>Spryware</u>	<u>Activ</u>	
	89	Sub-Penny Trading
57; 58; 59	85	Slow Quote due to NYSE LRP (NYSE); Manual Bid and Ask (Non-Firm) (NASDAQ)
50	83	Suspended
15	79	Opening Quote (NYSE); Opening Quote Automated (NASDAQ)
4	13	Closed Quote